

Forbes/SHOOK What's Ahead - A Virtual Top Advisor Summit

CE Credits Available

Thursday,
November 12, 2020

TIME	SESSION & SPEAKER
10:00 - 10:08 am	Opening Remarks — R.J. Shook , Founder, SHOOK Research & Forbes Contributor — Liz Shook , COO & Co-Founder, SHOOK Research — Frank Berland , Managing Partner, SHOOK Research
10:08 - 10:23 am	The Future of Our Practices — Jason Katz , UBS Wealth Management — Rebecca Rothstein , Merrill Private Wealth Management — Moderator: Ben Huneke , Head of Investment Solutions, Morgan Stanley Wealth Management
10:23 - 10:38 am	Personal Portfolios and Post-Election Politics — Richard Bernstein , CEO & CIO, Richard Bernstein Advisors
10:38 - 10:53 am	Representation & Inclusion on Teams: Why We Have the Competitive Advantage — Raj Sharma , Merrill Private Wealth Management — Bruce Burrows , Morgan Stanley Wealth Management — Heather Hunt-Ruddy , Head of Client Experience & Growth, Wells Fargo Advisors
10:53 - 11:08 am	Advice In A Tangled, Nested, Constant World — Dr. Joseph Coughlin , Director, MIT AgeLab — Moderator: John Diehl , Senior Vice President, Hartford Funds
11:08 - 11:20 am	The State of Fixed Income 2021 and Beyond — Mike Gitlin , Global Head of FI, Capital Group
11:20 - 11:22 am	One-Talk, One-Ton Challenge, NY Life Investments
11:22 - 11:37 am	Adding Value in Diminishing Fee Atmosphere — Mary Deatherage , Morgan Stanley Private Wealth Management — Randy Conner , Churchill Management Group — Moderator: John Mathews , Group MD, Head of PWM & UHNW, UBS Wealth Management
11:37 - 11:52 am	Forget 2020, What's Up for the Economy in 2021? — Brian Wesbury , Chief Economist, First Trust Portfolios
11:52 - 12:07 pm	Bigger & Better: high growth through client experiences & a winning team structure — Jeff Erdmann , Merrill Private Wealth Management
12:07 - 12:15 pm	Generating Consistent Excess Returns in a Low Rate Environment — Pramod Alturi , PIO of Bond Fund of America, Capital Group
12:20 - 12:35 pm	Fireside Chat with Industry Leaders in ESG — Hanneke Smits , CEO, BNY Mellon Investment Management — Andrew Lee , Head of Sustainable & Impact Investing, UBS Wealth Management
12:35 - 12:45 pm	The Future of Financial Advice — Penny Pennington , Managing Partner, Edward Jones

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12:45 - 1:00 pm

Preparing Your Team for a New World

- [Erin Scannell](#), Heritage Wealth Advisors
- [Brian Firing](#), Wells Fargo Advisors
- Moderator: [Steve Samuels](#), Managing Director, Merrill Lynch Wealth Management

1:00 - 1:15 pm

CONCURRENT BREAKOUTS

1. Breakout #1: Succession Planning

- a. [Robert Milliman](#), National Sales Manager - IBD Channel, First Eagle Investment Management

2. Breakout #2: COVID's Impact on ESG

- a. [Sarah Bratton Hughes](#), Head of Sustainability, North America, Schroders
- b. [Mary Green](#), Vice President, Client Portfolio Manager, Federated Hermes

3. Breakout #3: Private Foundations and Donor-Advised Funds: Helping Your Client Choose the Right Vehicle

- a. [Josh Stamer](#), Senior Managing Director, Foundation Source
- b. [Zach Staggs](#), Hollencrest Capital Management

1:15 - 1:30 pm

CONCURRENT BREAKOUTS

1. Breakout #1: Winning with Women Investors... Our Industry Has Been Getting It Wrong

- a. [Jen Tarsney](#), Director, Practice Management, NY Life Investments
- b. [Barry Mitchell Jr.](#), UBS Wealth Management

2. Breakout #2: Why ESG Should Matter to You and Your Clients

- a. [Jake Walko](#), Director of ESG Investing & Global Investment Stewardship, Thornburg Investment Management
- b. [Di Zhou](#), Portfolio Manager & Managing Director, Thornburg Investment Management
- c. [Barbara Archer](#), HighTower Wealth Advisors | St. Louis

3. Breakout #3: Fastest Growing FA's

- a. [Casey Kriedman](#), UBS Wealth Management
- b. [Timothy Pagliara](#), CapWealth
- c. [Jac McLean](#), Senior Managing Director, NY Life Investments

1:30 - 1:45 pm

CONCURRENT BREAKOUTS

1. Breakout #1: Top Women – A New World, A New Culture

- a. [Mary Mullin](#), Merrill Lynch Wealth Management
- b. [Liz Weikes](#), JP Morgan Securities
- c. Moderator: [Michael Schweitzer](#), Head of HNW, Capital Group | American Funds

2. Breakout #2: Top Teams Share Their Plans for the Future

- a. [Marvin McIntyre](#), Morgan Stanley Private Wealth Management
- b. [Lori Van Dusen](#), LVW Advisors
- c. Moderator: [Robert Milliman](#), National Sales Manager - IBD Channel, First Eagle Investment Management

3. Breakout #3: Strategies to Help Business Owners Manage and Transition Wealth

- a. [Chris Hennessey](#), Attorney & CPA, Putnam Investments
- b. [Trey Haydon](#), Raymond James & Associates

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1:45 - 2:00 pm

CONCURRENT BREAKOUTS

1. COVID-19: Insights Beyond the Curve

- a. [Charlie Phelan](#), VP of Practice Management & Consulting, Fidelity Investments
- b. [Meghan Railey](#), Optas Capital

2. Top Women – Intergenerational Teams, How to Structure & Serve

- a. [Sharon Sager](#), UBS Private Wealth Management
- b. [Kathy Roeser](#), Morgan Stanley Wealth Management
- c. Moderator: [Ryland Pruett](#), Executive VP & National Sales Manager, BNY Mellon

3. Compensating Team Members

- a. [Michael Poppo](#), UBS Wealth Management
- b. [Jim Moriarity](#), Morgan Stanley Private Wealth Management
- c. Moderator: [Heather Crist](#), Managing Director & Head of Field Engagement, WM US, UBS Wealth Management

2:00 - 2:01 pm

Welcome Remarks

- [Randall Lane](#), Chief Content Officer, Forbes

2:01 - 2:15 pm

What to Expect Next With the Economy

- [Steve Forbes](#), Chairman & Editor-in-Chief, Forbes Media

2:15 - 2:35 pm

Superstar Investors: Best Ideas of 2021

- [Tom Slater](#), Head of the US Equities Team, Baillie Gifford
- [Amy Y. Zhang](#), EVP, Portfolio Manager, Alger
- Moderator: [Antoine Gara](#), Staff Writer, Forbes

2:35 - 2:45 pm

The Ultimate Contrarian: An Interview with Real Estate Investing Pioneer

- [Sam Zell](#), Founder & Chairman, Equity Group Investments
- Moderator: [Chris Helman](#), Senior Editor, Forbes

2:45 - 3:05 pm

Vaccine & Therapies to Market: A Discussion with the Nation's Smartest Biotech Investors

- [Umer Raffat](#), Senior Managing Director, Evercore ISI
- [Peter Kolchinsky](#), Founder & Managing Partner, RA Capital Management
- Moderator: [Nathan Vardi](#), Senior Editor, Forbes

3:05 - 3:15 pm

A Top Performing Hedge Fund Manager's Big Ideas

- [Bill Ackman](#), Founder & CEO, Pershing Square Capital Management
- Moderator: [Antoine Gara](#), Staff Writer, Forbes

3:15 - 3:30 pm

Managing in the Present While Investing for the Future

- [Rick Rieder](#), CIO of Global Fixed Income & Head of the Global Allocation Team, BlackRock

3:30 - 3:55 pm

Energy Sector 2021: Navigating the Carbon Transition

- [John C. Goff](#), Founder, Crescent Real Estate & Goff Capital
- [Michael Shellenberger](#), Founder & President, Environmental Progress
- [Michael Skelly](#), Founder & President, Clean Line Energy
- Moderator: [Chris Helman](#), Senior Editor, Forbes

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3:55 - 4:10 pm	Trends in Wealth Management with Merrill Lynch — Andy Sieg , President, Merrill Lynch Wealth Management
4:10 - 4:35 pm	Big Changes in Tax & Policy That Could Affect Wealth Management — Kelly Phillips Erb , Managing Shareholder, The Erb Law Firm, P.C. — Brian Thompson , Founder, Brian Thompson Financial LLC. — Jonathan Traub , Managing Principal, Washington National Tax, Deloitte Tax LLP — Moderator: Janet Novack , Assistant Managing Editor, Forbes
4:35 - 4:45 pm	The State of Private Credit — Randy Schwimmer , Head of Organization & Capital Markets, Senior Lending — Moderator: Jason Bisnoff , Staff Writer, Wealth Management, Forbes Media
4:45 - 5:00 pm	What's Next for Wealth Management — Jason Chandler , Head of Wealth Management, UBS Wealth Management — Moderator: Ron Insana , Senior Advisor, Schroders
5:00 - 5:15 pm	Emerging Markets Investing Post Pandemic — Brendan Ahern , Chief Investment Officer, KraneShares — Matt Schifrin , VP & Assistant Managing Editor, Forbes
5:15 - 5:30 pm	Five Timeless Insights for Investing through Challenging Markets — Chris Davis , Chairman & Portfolio Manager, Davis Advisors
5:30 - 5:45 pm	Post Election Investment Outlook — Jeffrey Gundlach , CEO & CIO, DoubleLine
5:45 - 5:50 pm	Closing Remarks — Matt Schifrin , VP & Assistant Managing Editor, Forbes